

FORM PTO-1449	SERIAL NO. 10/069,831	CASE NO. 9683/104
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE February 26, 2002	GROUP ART UNIT To Be Assigned
(use several sheets if necessary)		APPLICANT(S): Hidekazu Sato et al.

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION	
						YES	NO
	A1	9-149073	06/06/1997	Japan		<input checked="" type="checkbox"/>	<input type="checkbox"/>
	A2	2000-148637	05/30/2000	Japan		<input checked="" type="checkbox"/>	<input type="checkbox"/>

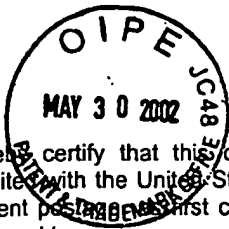
RECEIVED

JUN 10 2002

Technology Center 2100

EXAMINER <i>Kyung H. Shi</i>	DATE CONSIDERED <i>4-20-05</i>
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage for first class mail in an envelope addressed to:

Commissioner for Patents  
Washington, D.C. 20231  
on May 24, 2002

Date of Deposit

Gregory H. Zayia – Reg. No. 48,059

Name of applicant, assignee or  
Registered Representative

*Gregory Zayia*  
Signature

*May 24, 2002*  
Date of Signature

#3

RECEIVED  
JUN 10 2002  
Technology Center 2100

Our Case No.: 9683/104

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Hidekazu Sato et al.

Serial No.: 10/069,831

Filing Date: February 26, 2002

For: Method and Apparatus for Setting  
Communication Parameters to  
Information Terminal

Examiner: To Be Assigned

Group Art Unit No.: To Be Assigned

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents (A1-A2) listed on the attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are


enclosed for the convenience of the Examiner. A copy of the International Search Report is also enclosed.

In accordance with 37 C.F.R. § 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with the filing of this Information Disclosure Statement. However, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to this material, the Commissioner is hereby authorized to deduct the fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicants respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

  
\_\_\_\_\_  
Gregory H. Zayia  
Registration No. 48,059  
Agent for Applicants

BRINKS HOFER GILSON & LIONE  
P.O. Box 10395  
Chicago, IL 60610  
(312) 321-4200



FORM PTO-1449 PATENT & TRADEMARK OFFICE	SERIAL NO. 10/069,831	CASE NO. 9683/104
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (use several sheets if necessary)	FILING DATE February 26, 2002	GROUP ART UNIT 2151
APPLICANT(S): Hidekazu SATO et al.		

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
KHS	B1	5,812,819	09/22/1998	Rodwin et al.	395/500	6-5-95
	B					
	B					
	B					
	B					
	B					
	B					

RECEIVED  
JUL 15 2004  
Technology Center 2100

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	B					
	B					
	B					
	B					
	B					

## OTHER ART - NON PATENT LITERATURE DOCUMENTS

EXAMINER INITIAL		(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published:
	B	
	B	
	B	
	B	
	B	
	B	

EXAMINER <i>Kupung Raga Shi</i>	DATE CONSIDERED <i>4-20-05</i>
---------------------------------	--------------------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope, with sufficient postage, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

July 9, 2004

Date of Deposit

Tadashi Horie Reg. No. 40,437

Name of Applicant, Assignee or  
Registered Representative

*Tadashi Horie*  
Signature

July 9, 2004

Date of Signature

RECEIVED

JUL 15 2004

Technology Center 2100

Our Case No.: 9683/104

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Hidekazu SATO  
Hidekazu FUKAI

Serial No.: 10/069,831

Filing Date: February 26, 2002

For: METHOD AND APPARATUS FOR SETTING  
COMMUNICATION PARAMETERS TO  
INFORMATION TERMINAL

Examiner: To Be Assigned

Group Art Unit No.: 2151

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment  
Commissioner for Patents  
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the document listed on the attached Form PTO-1449 be considered by the Examiner and

made of record. A copy of the listed document required by 37 C.F.R. § 1.98(a)(2) is enclosed for the convenience of the Examiner.

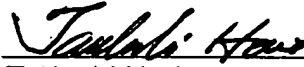
Prior art reference A1 was cited in an Office Action issued in a counterpart Canadian application. A copy of the Office Action is also attached to this Statement.

In accordance with 37 C.F.R. § 1.97(g),(h), this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Supplemental Information Disclosure Statement is being filed prior to the receipt of the first Official Action reflecting an examination on the merits and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with filing of this Supplemental Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct said fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

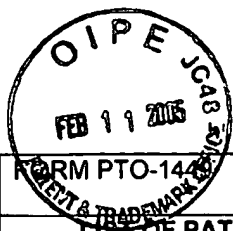
A handwritten signature in dark ink, appearing to read "Tadashi Horie", is positioned above a horizontal line.

Tadashi Horie

Registration No. 40,437

Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE  
P.O. Box 10395  
Chicago, IL 60610  
(312) 321-4200



FORM PTO-144	SERIAL NO. 10/069,831	CASE NO. 9683/104
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT	FILING DATE February 26, 2002	GROUP ART UNIT 2151
(use several sheets if necessary)	APPLICANT(S): Hidekazu SATO et al.	

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
KHS	C1	5,862,339	01/19/1999	Bonnaure et al.	395/200.57	1/9/96
	C					
	C					
	C					
	C					
	C					
	C					

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
	C					
	C					
	C					
	C					
	C					

## OTHER ART - NON PATENT LITERATURE DOCUMENTS

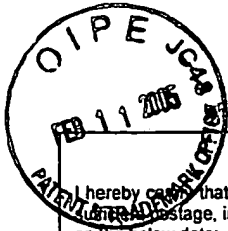
(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.)

EXAMINER INITIAL		
	C	
	C	
	C	
	C	
	C	
	C	

EXAMINER <i>Kyung H. Shin</i>	DATE CONSIDERED <i>4-20-05</i>
----------------------------------	-----------------------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.





## CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: February 9, 2005 Name: Tadashi Horie Reg. No. 40,437 Signature: Tadashi Horie

BRINKS  
HOFFER  
GILSON  
& LIONE

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Hidekazu SATO  
Hidekazu FUKAI

Appln. No.: 10/069,831

Filed: February 26, 2002

For: METHOD AND APPARATUS FOR SETTING  
COMMUNICATION PARAMETERS TO  
INFORMATION TERMINAL

Examiner: To Be Assigned

Art Unit: 2151

Attorney Docket No: 9683/104

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

## TRANSMITTAL

Sir:

## Attached is/are:

- ☒ Second Supplemental Information Disclosure Statement, Copy of Canadian Office Action, Form PTO-1449  
☒ Return Receipt Postcard

## Fee calculation:

- ☒ No additional fee is required.  
☐ Small Entity.  
☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).  
☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_).  
☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			x 100=			x \$200=	
First Presentation of Multiple Dep. Claim					+ \$180=			+ \$360=	
					Total	\$		Total	\$

## Fee payment:

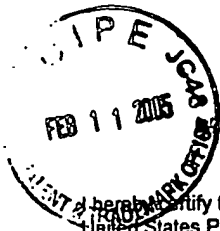
- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.  
☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.  
☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).  
☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

February 9, 2005  
Date

Tadashi Horie  
Tadashi Horie (Reg. No. 40,437)

2151  
3/1



**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope, with sufficient postage, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

February 9, 2005

Date of Deposit

Tadashi Horie Reg. No. 40,437

Name of Applicant, Assignee or  
Registered Representative

*Tadashi Horie*

Signature

February 9, 2005

Date of Signature

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Appln. of: Hidekazu SATO  
Hidekazu FUKAI

Appln. No.: 10/069,831

Filed: February 26, 2002

For: METHOD AND APPARATUS FOR SETTING  
COMMUNICATION PARAMETERS TO  
INFORMATION TERMINAL

Attorney Docket No: 9683/104

Examiner: To Be Assigned

Art Unit: 2151

**SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicants hereby cite the following reference:

U.S. DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME
5,862,339	01/19/1999	Bonnaure et al.

Applicants are enclosing Form PTO-1449 (one sheet), along with a copy of the listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). The listed reference was cited in an Office Action issued in a counterpart Canadian application. A copy of the Office Action is attached to this Statement. The Office Action also cites U.S.

Patent No. 5,812,819. U.S. Patent No. 5,812,819 was already disclosed to the Office in the Supplemental Information Disclosure Statement submitted on July 9, 2004. As the listed reference is in English, no further commentary is believed to be necessary, 37 C.F.R. §1.98(a)(3). Applicants respectfully request the Examiner's consideration of the above reference and entry thereof into the record of this application.

By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).

Applicants have calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

February 9, 2005  
Date

  
\_\_\_\_\_  
Tadashi Horie (Reg. No. 40,437)



SEORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  (use several sheets if necessary)	SERIAL NO. 10/069,831	CASE NO. 9683/104
	FILING DATE February 26, 2002	GROUP ART UNIT 2151
APPLICANT(S): Hidekazu SATO et al.		

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	D					
	D					
	D					
	D					
	D					
	D					
	D					

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO
KMS	D1	2001-297047	10/26/2001	Japan		Abstract
KMS	D2	H07-175547	07/14/1995	Japan		Abstract
	D					
	D					
	D					

EXAMINER  
INITIAL

## OTHER ART - NON PATENT LITERATURE DOCUMENTS

(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.

	D	
	D	
	D	
	D	

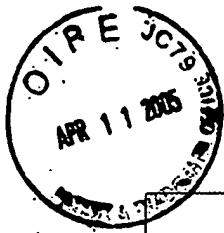
EXAMINER

*Xyung H. Shi*

DATE CONSIDERED

4-20-05

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



2151

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: April 6, 2005 Name: Tadashi Horie Reg. No. 40,437 Signature: *Tadashi Horie*

BRINKS  
HOFER  
GILSON  
& LIONE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Hidekazu SATO  
Hidekazu FUKAI

Appln. No.: 10/069,831

Filed: February 26, 2002

For: METHOD AND APPARATUS FOR SETTING  
COMMUNICATION PARASMETERS TO  
INFORMATION TERMINAL

Examiner: To Be Assigned

Art Unit: 2151

Attorney Docket No: 9683/104

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Third Supplemental Information Disclosure Statement, Copy of Japanese Office Action, Form PTO-1449, 2 Cited References (D1 and D2)
- ☒ Return Receipt Postcard

Fee calculation:

- ☒ No additional fee is required.
- ☐ Small Entity.
- ☐ An extension fee in an amount of \$\_\_\_\_\_ for a \_\_\_\_\_-month extension of time under 37 C.F.R. § 1.136(a).
- ☐ A petition or processing fee in an amount of \$\_\_\_\_\_ under 37 C.F.R. § 1.17(\_\_\_\_\_).
- ☐ An additional filing fee has been calculated as shown below:

					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total		Minus			x \$25=			x \$50=	
Indep.		Minus			x 100=			x \$200=	
First Presentation of Multiple Dep. Claim						+\$180=			+\$360=
					Total	\$		Total	\$

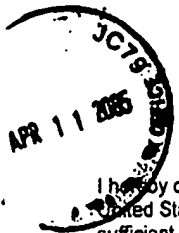
Fee payment:

- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.
- ☐ Please charge Deposit Account No. 23-1925 in the amount of \$\_\_\_\_\_. A copy of this Transmittal is enclosed for this purpose.
- ☐ Payment by credit card in the amount of \$\_\_\_\_\_ (Form PTO-2038 is attached).
- ☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

*Tadashi Horie*  
Tadashi Horie (Reg. No. 40,437)

April 6, 2005  
Date



**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope, with sufficient postage, addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

April 6, 2005

Date of Deposit

Tadashi Horie Reg. No. 40,437

Name of Applicant, Assignee or  
Registered Representative

Signature

April 6, 2005

Date of Signature

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Appln. of: Hidekazu SATO  
Hidekazu FUKAI

Appln. No.: 10/069,831

Filed: February 26, 2002

For: METHOD AND APPARATUS FOR SETTING  
COMMUNICATION PARAMETERS TO  
INFORMATION TERMINAL

Examiner: To Be Assigned

Art Unit: 2151

Attorney Docket No: 9683/104

**THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and §§1.97-1.98, and more particularly in accordance with 37 C.F.R. §1.97(b), Applicants hereby cite the following references:

FOREIGN DOCUMENT NUMBER Number-Kind Code (if known)	DATE	COUNTRY
2001-297047	10/26/2001	Japan
H07-175547	07/14/1995	Japan

Applicants are enclosing Form PTO-1449 (one sheet), along with a copy of each listed reference for which a copy is required under 37 C.F.R. §1.98(a)(2). References D1 and D2 were cited in an Office Action issued in the counterpart Japanese application.

A copy of the Office Action is attached to this Statement. References D1 and D2 are in Japanese. Applicants are submitting an English abstract for each of the references. Applicants respectfully request the Examiner's consideration of the above references and entry thereof into the record of this application.

By submitting this Statement, Applicants are attempting to fully comply with the duty of candor and good faith mandated by 37 C.F.R. §1.56. As such, this Statement is not intended to constitute an admission that any of the enclosed references, or other information referred to therein, constitutes "prior art" or is otherwise "material to patentability," as that phrase is defined in 37 C.F.R. §1.56(a).


As shown in the attached Japanese Office Action, the Office Action was mailed March 8, 2005. For purposes of 37 C.F.R. §1.704(d), Applicants certify that each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart application, and that this communication was not received by any individual designated in 37 C.F.R. §1.56(c) more than thirty days prior to the filing of this Statement.

Applicants have calculated no fee to be due in connection with the filing of this Statement. However, the Director is authorized to charge any fee deficiency associated with the filing of this Statement to a deposit account, as authorized in the Transmittal accompanying this Statement.

Respectfully submitted,

April 6, 2005

Date

  
Tadashi Horie (Reg. No. 40,437)